



Please type a plus sign (+) inside this box →

+

Receipt/##

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | | | |
|--|---|--|-------------------------------|----------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | | Application Number | 09/883,152 | |
| | | Filing Date | June 15, 2001 | |
| | | First Named Inventor | KENNEDY, GIULIA C. | |
| | | Group Art Unit | 1645 | |
| | | Examiner Name | Unassigned | |
| Total Number of Pages in This Submission | | 25 | Attorney Docket Number | 1663.002 |
| ENCLOSURES (check all that apply) | | | | |
| <input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached | <input checked="" type="checkbox"/> Submission of Formal Drawings Transmittal | <input type="checkbox"/> After Allowance Communication to Group | | |
| <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) | <input checked="" type="checkbox"/> Drawing(s) (8 Sheets) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences | | |
| <input checked="" type="checkbox"/> Request for Corrected Filing Receipt | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | | |
| <input checked="" type="checkbox"/> Red Marked copy of Filing Receipt with Correction | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information | | |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter | | |
| <input type="checkbox"/> Certified Copy of Priority Documents | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard | | |
| <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application | <input type="checkbox"/> Terminal Disclaimer | | | |
| <input checked="" type="checkbox"/> Executed Declaration in Four Parts | <input type="checkbox"/> Request for Refund | | | |
| | <input type="checkbox"/> CD, Number of CD(s) _____ | | | |
| Remarks | | | | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | | |
| Firm or Individual Name | CAROL L. FRANCIS, Reg. No. 36,513 | | | |
| Signature | | | | |
| Date | February 28, 2002 | | | |

| | | | |
|---|---------------------|------|-------------------|
| CERTIFICATE OF MAILING | | | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: February 28, 2002. | | | |
| Typed or printed name | Steven R. Goldstein | | |
| Signature | | Date | February 28, 2002 |

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL
for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 2,442.00)

Complete if Known

Application Number 09/883,152

Filing Date June 15, 2001

First Named Inventor KENNEDY, GIULIA C.

Examiner Name Unassigned

Group Art Unit 1645

Attorney Docket No. 1663.002

METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit overpayments to:

Deposit Account Number 50-0815

Deposit Account Name Bozicevic, Field & Francis LLP

- ☒
- Charge Any Additional Fee Required

Under 37 CFR 1.1 6 and 1.17

- ☐
- Applicant Claims small entity status.

See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other**FEE CALCULATION****2. BASIC FILING FEE**

| Large Entity Fee Code | Small Entity Fee Code | Fee (\$) | Fee (\$) | Fee Description | Fee Paid |
|-----------------------|-----------------------|----------|----------|------------------------|----------|
| 101 | 201 | 740 | 370 | Utility filing fee | 740 |
| 106 | 206 | 330 | 165 | Design filing fee | |
| 107 | 207 | 510 | 255 | Plant filing fee | |
| 108 | 208 | 740 | 370 | Reissue filing fee | |
| 114 | 214 | 160 | 80 | Provisional filing fee | |

SUBTOTAL (1) 740

1. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|----------------------|------------------|----------------|----------|
| 42-20** | = 22 x | 18 = 396 | |
| Indep. Claims 17-3** | = 14 x 84 = 1176 | | |
| Multiple Dependent | | | |

| Large Entity Fee Code | Small Entity Fee Code | Fee (\$) | Fee (\$) | Fee Description |
|-----------------------|-----------------------|----------|----------|--|
| 103 | 203 | 18 | 9 | Claims in excess of 20 |
| 102 | 202 | 84 | 42 | Independent claims in excess of 3 |
| 104 | 204 | 280 | 140 | Multiple dependent claim, if not paid |
| 109 | 209 | 84 | 42 | ** Reissue independent claims over original patent |
| 110 | 210 | 18 | 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$ 1,572

**or number previously paid, if greater; For Reissues, see above.

FEE CALCULATION (continued)

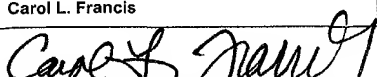
| Large Entity Fee Code | Small Entity Fee Code | Fee (\$) | Fee (\$) | Fee Description | Fee Paid |
|-----------------------|-----------------------|----------|----------|--|----------|
| 105 | 205 | 130 | 65 | Surcharge - late filing fee or oath | 130 |
| 127 | 227 | 50 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 239 | 130 | 130 | Non-English specification | |
| 147 | 247 | 2,520 | 2,520 | For filing a request for ex parte reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examination action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 215 | 110 | 55 | Extension for reply within first month | |
| 116 | 216 | 400 | 200 | Extension for reply within second month | |
| 117 | 217 | 920 | 460 | Extension for reply within third month | |
| 118 | 218 | 1,440 | 720 | Extension for reply within fourth month | |
| 128 | 228 | 1,960 | 980 | Extension for reply within fifth month | |
| 119 | 219 | 320 | 160 | Notice of Appeal | |
| 120 | 220 | 320 | 160 | Filing a brief in support of an appeal | |
| 121 | 221 | 280 | 140 | Request for oral hearing | |
| 138 | 238 | 1,510 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 240 | 110 | 55 | Petition to revive - unavoidable | |
| 141 | 241 | 1,280 | 640 | Petition to revive - unintentional | |
| 142 | 242 | 1,280 | 640 | Utility issue fee (or reissue) | |
| 143 | 243 | 460 | 230 | Design issue fee | |
| 144 | 244 | 620 | 310 | Plant issue fee | |
| 122 | 222 | 130 | 130 | Petitions to the Commissioner | |
| 123 | 223 | 50 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 | 226 | 180 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 581 | 40 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 246 | 740 | 370 | For each additional invention to be examined (37 CFR § 1.129(a)) | |
| 149 | 249 | 740 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 279 | 740 | 370 | Request for Continued Examination (RCE) | |
| 169 | 269 | 900 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 130)

SUBMITTED BY**Complete (if applicable)**

| | | | | | |
|-------------------|---|-----------------------------------|------------|-----------|----------------|
| Name (Print/Type) | Carol L. Francis | Registration No. (Attorney/Agent) | 36,513 | Telephone | (650) 327-3400 |
| Signature |  | Date | 02/28/2002 | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/883,152 | 06/15/2001 | Giulia Kennedy | 097268061663.002 |

CONFIRMATION NO. 8227

FORMALITIES LETTER



OC000000007268849

Chiron Corporation
Intellectual Property - R440
P.O. BOX 8097
Emeryville, CA 94662-8097

Date Mailed: 01/04/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1516.
 - \$396 for 22 total claims over 20.
 - \$1120 for 14 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2356.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.


The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

03/13/2002 BNGUYEN1 00000063 500815 09883152

| | |
|-----------|------------|
| 01 FC:101 | 740.00 CH |
| 02 FC:103 | 396.00 CH |
| 03 FC:102 | 1176.00 CH |
| 04 FC:105 | 130.00 CH |

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE